IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Toshihiro TANAKA et al.

Appln. No.:

Group Art Unit:

Filed: HEREWITH

For: NONVOLATILE MEMORY DEVICE AND SEMICONDUCTOR DEVICE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56, and without any assertion as to materiality or prior art effect, the documents listed on the attached Form PTO-1449 are hereby cited.

The Japanese documents on the attached List were cited in the specification, on page 3, and their relevance is indicated therein. Document AA is the U.S. counterpart of Document AJ. Document AB is the U.S. counterpart of Document AK.

Respectfully submitted,

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March 22, 2004

| FORM PTO-1449  |                      |  |     |            |       |               | Atty. Docket No.        |        |         | A                | Appln. No. |                        |  |
|--|----------------------|--|-----|------------|-------|---------------|-------------------------|--------|---------|------------------|------------|------------------------|--|
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| LIST OF DOCUMENTS CITED BY APPLICANT                         |                      |  |     |            |       |               | ļ                       |        |         | **               |            |                        |  |
|  |                      |  |     |            |       |               | Applicant               |        |         |                  |            |                        |  |
|  |                      |  |     |            |       |               | Toshihiro TANAKA et al. |        |         |                  |            |                        |  |
|  |                      |  |     |            |       |               | Filing Date Group       |        |         |                  |            |                        |  |
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| Examiner<br>Initial  |                      | Document Number                                |     | Date       |       | Name          |                         |        | Class   | Class Sub<br>cla |            | Filing Date            |  |
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|  | AE                   |  |     |            |       |               |                         |        |         |                  |            |                        |  |
|  | AF                   |  |     |            |       |               |                         | . •    |         |                  |            |                        |  |
|  | AG                   |  |     |            |       |               |                         |        |         |                  |            |                        |  |
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| xaminer<br>nitial  | Document Number Date |  |     |            |       | Country       |                         |        | Class   | Sub-<br>class    |            | Translation            |  |
|  | AJ                   | 2001-148434                                    | 05  | /29/2001   | Japan |               |                         |        |         | Abstract         |            |                        |  |
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| OTHER (including author, title, date, pertinent pages, etc.) |                      |  |     |            |       |               |                         |        |         |                  |            |                        |  |
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|  | P 60                 | nitial if ref<br>09; draw line<br>of this form | thr | ough citat | ion   | if n          | ot in                   | confor | mance a | n is i           | in con     | nformance<br>nsidered. |  |